

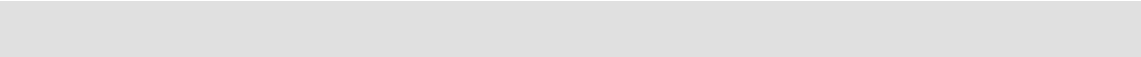


Specific Accreditation Criteria

Infrastructure and Asset Integrity ISO/IEC 17020 and ISO/IEC 17025 Annex

Lifting equipment assessment

January 2018



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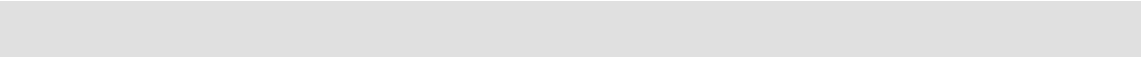
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Lifting equipment assessment

This document provides interpretative criteria and recommendations for the conformity assessment of lifting gear. It is relevant to:

- inspection bodies working to ISO/IEC 17020 and performing inspection of lifting equipment, and
- facilities working to ISO/IEC 17025 and conducting testing on lifting equipment.

Applicant and accredited facilities must also comply with the relevant accreditation standard and relevant Standard Application Document (SAD) (i.e. ISO/IEC 17020 for Inspection and ISO/IEC 17025 for Testing).

The headings of this annex reference clause numbers from both ISO/IEC 17020 and ISO/IEC 17025. Since not all clauses require interpretation, not all clauses are referenced. With two standards being referenced, clause numbering may not be consecutive.

Lifting gear accreditation standards

Lifting and lifted equipment may be subjected to several forms of conformity assessment during its design, fabrication, at the time of supply and/or while in-service. Conformity assessment can involve design verification, verification of construction, application of proof loads, application of breaking loads on samples drawn from a batch, visual inspection of equipment in-service, assessment of functionality and proof loading of equipment in service. With regard to proof load testing, this requires the pre and post test examination of the equipment to establish any deterioration or permanent change in the equipment that is attributable to the application of the load.

Inspection is defined as:

Examination of a product design, product, process or installation and determination of its conformity with specific requirements or, on the basis of professional judgement, with general requirements. *(ISO/IEC 17000, Clause 4.3)*

Testing is defined as:

Determination of one or more characteristics of an object of conformity assessment according to a procedure *(ISO/IEC 17000 Clause 4.2)*

These definitions are critical in order for NATA to consistently apply and assess a facility against either standard or both. In many cases the distinction between inspection and testing is not reflected in the terminology used in the industry. Accordingly, the following sections allow facilities to determine the appropriate standard, or standards, against which they should seek accreditation for the activities they perform.

Inspection bodies

Facilities accredited to ISO/IEC 17020 may perform functional load testing within the scope of their accreditation when the applied loads are equal to, or less than, the working load limit of the equipment under inspection. Loading of items in excess of the working load limit (WLL) (so-called “proof” loading) is

outside the scope of accreditation for inspection bodies, except where the specified technical documents explicitly require ongoing verification by loading above the WLL during in-service inspections. Facilities must be accredited to ISO/IEC 17025 to be able to issue NATA-endorsed reports for all other proof loading tests above the WLL.

The scope of accreditation of inspection bodies who conduct functional load testing will include a statement that such load testing is limited to loads below and including the WLL of the equipment under inspection. Where the exception is relevant, the scope of accreditation will explicitly identify the relevant technical documents used.

Testing laboratories

Facilities accredited to ISO/IEC 17025 are able to examine equipment before and after testing and, if appropriate, may reject equipment on the basis of examination only. However, acceptance of equipment as fit for service without subjecting the equipment to a load test (i.e. acceptance by inspection only) is outside the scope of accreditation for testing facilities and to be able to issue NATA-endorsed reports for this work such facilities must also be accredited to ISO/IEC 17020.

Accreditation criteria

The following information sets out the accreditation criteria, recommendations and guidance relating to Personnel, Equipment, Product-specific criteria and processes / procedures within the facility.

Personnel criteria (ISO/IEC 17020 Clause 6.1, ISO/IEC 17025 Clause 5.2)

Vision tests

All personnel involved in the assessment of lifting gear must satisfy the following requirement for visual acuity every two years. The person shall be able to demonstrate clear near vision (corrected or uncorrected) by being capable of reading a row of letters of sufficiently fine print (Jaeger No. 1 or equivalent type, or finer) at a distance of 300 mm. This requirement is based upon AS 3978. Colour vision may also be relevant and a one-off confirmation of colour vision performance should be conducted and records kept.

Equipment criteria (ISO/IEC 17020 Clause 6.2, ISO/IEC 17025 Clause 5.5)

Records of proof load determinations for ancillary equipment used for conducting tests (e.g. rings, links, chain) must be kept. Regular inspections of the equipment should also be undertaken. These items should be marked so as to prevent any possible confusion with similar equipment provided by clients.

Where rigs are needed to facilitate handling of items for testing or inspection (e.g. container stands, reaction frames), the facility should hold records identifying a working load limit for such rigs.

Product specific criteria

Fibre rope slings

Accreditation is available for proof tests upon fibre rope slings. The facility seeking accreditation must develop a testing procedure for the items under test. Specific matters, for example loading rates, must be considered.

Plate clamps

Accreditation is available for proof tests upon plate clamps. AS 4991 gives specific details for the testing of plate clamps.

The hardness of the test plates used shall either be determined by a NATA accredited facility (or a facility accredited by an accreditation body signatory to the ILAC Mutual Recognition Arrangement) or shall be determined in-house with supporting records. If in-house hardness testing is conducted the hardness testing equipment shall be calibrated and the requirements for hardness testing as detailed in the Specific Accreditation Criteria: Manufactured Goods Annex - Physical Testing of Metals must be met.

The condition of test plates shall be examined regularly to ensure their continued suitability (i.e. have not been excessively damaged by plate clamps). The facility shall document acceptance criteria for the test plates and hold records of the condition checks and maintenance / replacement of test plates. These items should be marked so as to prevent any possible confusion with similar equipment provided by clients.

Serial hoists

Operational tests on serial hoists shall include both raising and lowering under load. When using a hydraulic system the load shall be maintained between (-5%, +20 %) during the lowering and raising procedure.

Slings

The legs of multi-legged slings shall be tested in accordance with the relevant standard taking into consideration the operating angle. The head link shall not be overloaded if legs are tested simultaneously using a load equalising device. When calculating the force to be applied consideration shall be given to the resolution of forces through the load equalising device.

Determination of Centre of gravity of structures/weighing of structures

In addition to the usual requirements associated with procedures, procedures describing the determination of centre of gravity and/or weight of structures must detail:

- how allowance for friction is made;
- how the lift is undertaken;
- the type of beam to be used; and
- how allowance for environmental influences is made.

Procedural criteria (ISO/IEC 17020 Clause 7.1, ISO/IEC 17025 Clauses 5.4 and 5.8)

Marking and identification of items

In the case of small items it may not be feasible to tag, mark or otherwise identify each item. The client shall be consulted to establish protocols for handling small items.

Where the identification of an item is refreshed, changed or revised (e.g. by re-tagging, changing colour dots or replacement of data plates) all changes must be reported to the client.

Testing parameters

Critical components shall be marked or measured to ensure permanent deformation can be quantified. If measurements are made they must be recorded.

There are a number of methods for determining deformation of lifting equipment. The preferred method must be identified in facility documentation, either under a general testing procedure or within product-specific testing procedures.

When an item is submitted for proof testing and it is not covered by an Australian standard, or a standard written by another standards writing body, it must either be accompanied by engineering computations or be permanently marked with its capacity, safe working load or working load limit, as applicable.

Where a permanent marking on the item is the basis for determination of proof load or force, the customer shall provide the facility with detail of the authorisation for the permanent marking, and this basis shall be stated on both test record and report. Where this detail is not available, the work cannot be covered by the scope of accreditation.

On-site testing

Accreditation is available for on-site proof load testing of items and fixtures. The facility must possess suitable equipment and procedures. The scope of accreditation is structured to reflect the on-site testing capability of the facility.

Test load hold times

When test load hold times are not specified by the standard, the following hold times shall be used as a minimum:

Chain slings / fittings	10 seconds
Other lifting equipment	20 seconds

Review of requests, tenders and contracts (ISO/IEC 17025 Clause 4.4, ISO/IEC 17020 Clause 7.1)

Customers' requests shall be reviewed to ensure that the characteristics, which are to be inspected, measured or tested, together with any acceptance criteria, are clearly and unambiguously stated. Where the item of lifting gear is required to be accompanied by engineering computations (e.g. it is not covered by an Australian or recognised overseas standard¹) the facility shall check to ensure

that all necessary information has been supplied before performing any conformity assessment work.

The review shall include physical, personnel and information requirements and resources and shall confirm that personnel have the skills and expertise necessary for the satisfactory performance of the work requested.

Note¹: 'Recognised overseas standard' is a standard published by a recognised standards writing body such as international/national standards organisations, specifying organisations such as the American Society for Testing and Materials (ASTM) and government agencies.

Safety-related issues shall be considered during the review of requests, with particular attention to matters relating to access, loading and the possible consequences of failure.

Test records and reports (ISO/IEC 17020 Clauses 7.3 and 7.4 and ISO/IEC 17025 Clauses 4.13.2 and 5.10)

When testing individual components of an assembled product (e.g. multi-legged sling) or a number of items of the same description, the actual load applied to each component or item must be recorded in test records. Test certificates may detail only the overall force or load applied.

For tests such as proof tests on items, the design and manufacture of which are not covered by an Australian or recognised overseas standard¹, the test record and report shall each state the test configuration and the basis upon which the test load or force, and the method of its application is derived.

Common equipment performance checks (ISO/IEC 17020 Clause 6.4, ISO/IEC 17025 Clause 5.5.2)

Facilities are responsible for establishing their own equipment assurance program. This is to ensure that all equipment used satisfies the need to produce consistent and reliable and, where appropriate, traceable results. In doing so facilities must ensure that where methods writing bodies have included equipment calibration and checking intervals in standard methods that these intervals must be followed if the methods are covered by the accreditation. Facilities should refer to the guidance documents available for equipment (and NATA's *General Equipment Table*) for further information on calibrations and checks on equipment.

References

This section lists publications referenced in this document. The year of publication is not included as it is expected that only current versions of the references shall be used.

Standards

AS 2193	<i>Calibration and classification of force measuring systems</i>
AS 3978	<i>Non-destructive testing - Visual inspection of metal products and components</i>
AS 4991	<i>Lifting devices</i>
ISO/IEC 17000	<i>Conformity assessment - Vocabulary and general principles</i>
ISO/IEC 17020	<i>Conformity assessment – Requirements for the operation of various types of bodies performing inspection</i>
ISO/IEC 17025	<i>General requirements for the competence of testing and calibration laboratories</i>

Amendment Table

The table below provides a summary of changes made to the document with this issue.

Section or Clause	Amendment
New Document	This document represents a direct adoption of the former Joint Inspection Annex A and Mechanical Testing Annex C - Conformity assessment of lifting equipment. The technical content is unchanged. The document has been reviewed and updated to reflect the new accreditation criteria documentation structure.